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TECHNOLOGY CENTER 2800Attorney Docket No.: ~~FCP001~~**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****Certificate of Transmission**

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**Sheets transmitted: 8**  
Gudrun E. Hockett, Patent Agent

Applicant: Marcus Menden et al.  
Serial No: 09/869,184 (based on PCT/DE00/03701 of October 20, 2000)  
US Filed: 6/20/2001  
For: Illumination Method, Illumination System and the Components Thereof,  
Especially for Illuminating Hollow Bodies such as Signs, Inscriptions,  
Letters, Especially Relief Letters and the Like as well as a Sign, an  
Inscription and a Letter, Especially Relief Letter with Illumination

**Assistant Commissioner for Patents**  
**PCT Operations - Attn.: Kathy Short**  
**Washington, D.C. 20231**

**REQUEST FOR STATUS ON CORRECTION OF FILING DATE/CORRECTION OF FILING RECEIPT**

The undersigned submitted on August 31, 2001, a request for correction of filing receipt and correction of filing date (see enclosed copies). A return card showing that the papers were received on Sep. 6, 2001, by OIPE was received by the undersigned; a copy of the return card is enclosed. Please advise on the status of the correction of filing date.

Respectfully submitted on January 16, 2002,  
Gudrun E. Hockett, Ph.D.  
Registration No. 35,747

Gudrun E. Hockett, Patent Agent  
P.O. Box. 3187  
Albuquerque, NM 87190-3187  
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Attorney Docket No.: E02P01US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Certificate of Mailing**

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\_\_\_\_\_  
Gudrun E. Hockett, Patent Agent

Applicant: Marcus Menden et al.

Serial No: 09/869,184

US Filed: 6/20/2001

For: Illumination Method, Illumination System and the Components Thereof,  
Especially for Illuminating Hollow Bodies such as Signs, Inscriptions, Letters,  
Especially Relief Letters and the Like as well as a Sign, an Inscription and  
a Letter, Especially Relief Letter with Illumination

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
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Washington, D.C. 20231

**REQUEST FOR CORRECTION OF FILING RECEIPT AND  
CORRECTION OF FILING DATE**

The undersigned received a filing receipt dated 8/14/2001 for the above identified application in which the **filing date and the number of independent claims is incorrect.**

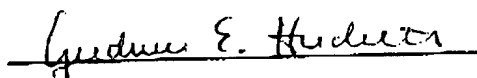
- 1 -

The application was filed on **June 20, 2001**, as evidenced by the Express Mail label (copy enclosed) and the Express Mail tracking report which can be found on the USPS website (see enclosed copy). Also, the Certificate of Mailing on the transmittal letter signed by the undersigned of this correspondence states that the application was mailed on June 20, 2001 (see enclosed copy). Please correct the filing date of this application accordingly.

The application was filed with a preliminary amendment submitting a total of **three** independent claims (see claims fee calculation portion of PTO-1390). A copy of the filing receipt with the corrected data shown in red ink and highlighted in yellow is enclosed. It is respectfully requested that a corrected filing receipt be issued.

Authorization is herewith given to charge any fees or any shortages in any fees required during prosecution of this application and not paid by other means to Patent and Trademark Office deposit account 50-1199.

Respectfully submitted on August 31, 2001.



Gudrun E. Hockett, Ph.D.  
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GEH/Encl. - copy of filing receipt with corrections/copies of Express Mail label, USPS website print-out, and transmittal letter

- 2 -

8/31/01: Req. Corr. Filing Receipt - Ser. No.: 09/869,184; Inventor: M. Menden.; US Filing Date: 6/20/2001



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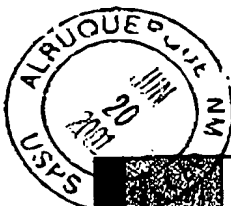
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FORM PTO-1390 (REV. 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER <b>E02P01US</b>	
<b>TRANSMITTAL LETTER TO THE UNITED STATES          DESIGNATED/ELECTED OFFICE (DO/EO/US)          CONCERNING A FILING UNDER 35 U.S.C. 371</b>					
INTERNATIONAL APPLICATION NO <b>PCT/DE00/03701</b>		INTERNATIONAL FILING DATE <b>10/20/2000</b>		PRIORITY DATE CLAIMED <b>10/20/1999</b>	
TITLE OF INVENTION <b>Illumination Method, Illumination System and the Components Thereof, Especially for Illuminating Hollow Bodies such as Signs, Inscriptions, Letters, Especially Relief Letters and the like as well as a Sign, an Inscription and a Letter, Especially Relief Letter with Illumination</b>					
APPLICANT(S) FOR DO/EO/US <b>Marcus Menden</b>					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.					
1 <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.					
2 <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.					
3 <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.					
4 <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).					
5 <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))					
a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau)					
b. <input checked="" type="checkbox"/> has been communicated by the International Bureau					
c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)					
6 <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))					
a. <input checked="" type="checkbox"/> is attached hereto					
b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4)					
7 <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))					
a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau)					
b. <input type="checkbox"/> have been communicated by the International Bureau					
c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired					
d. <input type="checkbox"/> have not been made and will not be made					
8 <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))					
9 <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4))					
10 <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5))					
Items 11 to 20 below concern document(s) or information included:					
11 <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98					
12 <input type="checkbox"/> An assignment document for recording: A separate cover sheet in compliance with 37 CFR 3128 and 3.31 is included					
13 <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment					
14 <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment					
15 <input type="checkbox"/> A substitute specification					
16 <input type="checkbox"/> A change of power of attorney and/or address letter					
17 <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter 2 and 35 U.S.C. 1.821 - 1.825					
18 <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4)					
19 <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)					
20 <input checked="" type="checkbox"/> Other items or information:					
Application Data Sheet					
"Express Mail" Label # EF 419 678 483 US - I hereby certify that this paper or fee is being deposited with the USPS "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on 06/20/2001, and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.					
Gudrun E. Hockett, Patent Agent					



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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FILE FEE RECD	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/869,184	<del>09/24/2001</del> 06/20/2001	2875	592	E02P01US	5	38	X

CONFIRMATION NO. 2261

Gudrun E Hockett  
PO Box 3187  
Albuquerque, NM 87190-3187



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FILING RECEIPT



\*OC000000006422342\*

Date Mailed: 08/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Marcus Menden, Essen, GERMANY;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/DE00/03701 10/20/2000

Foreign Applications

GERMANY 199 50 639.6 10/20/1999

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Illumination method, illumination system and the components thereof, especially for illuminating hollow bodies such as signs, inscriptions, letters, especially relief letters and the like as well as a sign, an inscription and a letter, especially relief letter with illumination

Preliminary Claims

362

Date: 8/31/2001

Attorney Docket: E02P01US

Please acknowledge receipt of the documents listed below by placing your receiving stamp on this card and returning this card to the addressee.

**Request to Correct Filing Receipt**

Inventor(s): Marcus Menden  
Title: Illumination Method, Illumination System and the Components  
Thereof, Especially for Illuminating Hollow Bodies such as ...

Application Ser. No.: 09/869,184  
US Filing Date: 6/20/2001



Documents submitted:

Request Letter: ☒ ( 2 pages )  
Copy of Filing Receipt with Corrections: ☒ ( 1 page )  
Copies of Express Mail label etc. ☒ ( 3 pages )

Gudrun E. Hockett, Reg. No. 35,747, P.O. Box 3187, Albuquerque, NM 87190-3187

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